



PTO/SB/21 (modified)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

006 PTO U.S. Department of Commerce Patent and Trademark Office		Application Number	09/989,929
TRANSMITTAL FORM <i>(to be used for all correspondence during pendency of filed application)</i>		Filing Date	November 20, 2001
		First Named Inventor	David Kowal
		Group Art Unit Number	2161
		Examiner Name	Not yet known
Total Number of Pages in This Submission	25	Attorney Docket Number	19538-06497

ENCLOSURES (check all that apply)

- | | |
|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate)
<input checked="" type="checkbox"/> Check Enclosed | <input type="checkbox"/> Issue Fee Transmittal |
| <input checked="" type="checkbox"/> Return Receipt Postcard | <input type="checkbox"/> Letter to Chief Draftsperson |
| <input checked="" type="checkbox"/> Response to Notice to File Missing Parts | <input checked="" type="checkbox"/> Substitute Drawings:
[16] Sheet(s) of Figure(s) [1-16] |
| <input type="checkbox"/> Assignment & Recordation Cover Sheet | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
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(Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> Power of Attorney | <input type="checkbox"/> Certified Copy of Priority Document(s) |
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| <input type="checkbox"/> Information Disclosure Statement & PTO-1449
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Signature:	<i>Laura Majerus</i>	
Attorney/Reg. No.:	Laura A. Majerus / Reg. No.: 33,417	Dated: 01/30/02

CERTIFICATE OF MAILING

I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D C 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.		
Signature:	<i>Laura Majerus</i>	
Typed or Printed Name:	Laura A. Majerus	Dated: 01/30/02
Express Mail Mailing Number (optional):		

19538/06497/DOCS/1241690.1



PATENT

IN THE UNITED STATES

PATENT AND TRADEMARK OFFICE

APPLICANT(S): David Kowal, John M. Boushy and Timothy J. Wilmott

APPLICATION NO.: 09/989,929

FILING DATE: November 20, 2001

TITLE: Customer Valuation In A Resource Price Manager

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EXAMINER: Not yet known

GROUP ART UNIT: 2161

ATTY. DKT. NO.: 19538-06497

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By: Laura Majerus

Laura A. Majerus, Reg. No.: 33,417

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RESPONSE TO NOTICE TO FILE MISSING
PARTS OF APPLICATION

SIR:

Responsive to the Notice to File Missing Parts dated November 30, 2001 received in the above-identified patent application,

☒ Enclosed are:

☒ a copy of the Notice to File Missing Parts;

☒ an original, signed Declaration;

☐ an original, signed Power of Attorney;

☐ an Application Data Sheet;

☒ payment in the amount of \$130.00 for the

☐ application filing fee;

☐ fee for additional claims; and

☒ missing parts surcharge.

☒ Other: Substitute drawings in compliance with 37 C.F.R. 1.84 (16 sheets containing Figures 1-16).

☐ Applicant claims small entity status under 37 C.F.R. § 1.27.

Respectfully submitted,
DAVID KOWAL, JOHN M. BOUSHY AND
TIMOTHY J. WILMOTT

Dated: 01/30/02

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Sector #



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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/989,929	11/30/2001	David Kowal	19538-06497

00758
FENWICK & WEST LLP
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PALO ALTO, CA 94306



CONFIRMATION NO. 2211

FORMALITIES LETTER



OC000000007142916

Date Mailed: 11/30/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

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Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

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11/30/2001